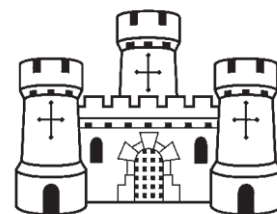


Date of meeting Monday, 27th July, 2020
Time 7.00 pm
Venue Audit and Standards - Virtual Meeting - Conference
Contact Denise French 742211



**NEWCASTLE
UNDER LYME**

BOROUGH COUNCIL

Castle House
Barracks Road
Newcastle-under-Lyme
Staffordshire
ST5 1BL

Audit and Standards Committee

AGENDA

PART 1 – OPEN AGENDA

- 1 **APOLOGIES**
- 2 **DECLARATIONS OF INTEREST**
To receive Declarations of Interest from Members on items included in the agenda
- 3 **MINUTES OF PREVIOUS MEETING** (Pages 5 - 10)
To consider the minutes of the previous meeting held on 1st May 2020.
- 4 **DRAFT STATEMENT OF ACCOUNTS 2019/20** (Pages 11 - 96)
- 5 **TREASURY MANAGEMENT ANNUAL REPORT 2019/20** (Pages 97 - 106)
- 6 **ANNUAL GOVERNANCE STATEMENT** (Pages 107 - 118)
- 7 **CORPORATE RISK MANAGEMENT REPORT** (Pages 119 - 186)
- 8 **COUNTER FRAUD ARRANGEMENTS** (Pages 187 - 228)
- 9 **ANNUAL HEALTH AND SAFETY REPORT 2019 - 2020** (Pages 229 - 242)
- 10 **COMMITTEE WORK PLAN** (Pages 243 - 244)
- 11 **URGENT BUSINESS**
To consider any business which is urgent within the meaning of Section 100B(4) of the Local Government Act 1972

Members: Councillors Paul Waring (Chair), Kenneth Owen (Vice-Chair),
Ms Sylvia Dymond, Ms Sarah Pickup, Mike Stubbs, Mrs Gillian Burnett and
Barry Panter

Members of the Council: If you identify any personal training/development requirements from any of the items included in this agenda or through issues raised during the meeting, please bring them to the attention of the Democratic Services Officer at the close of the meeting.

Meeting Quorums :- 16+= 5 Members; 10-15=4 Members; 5-9=3 Members; 5 or less = 2 Members.

SUBSTITUTE MEMBER SCHEME (Appendix 9, Section 4 of Constitution)

The Constitution provides for the appointment of Substitute members to attend Committees. The named Substitutes for this meeting are listed below:-

| | | |
|---------------------|---------------|----------------------|
| Substitute Members: | Mark Holland | Kyle Robinson |
| | Graham Hutton | Mrs Gillian Williams |
| | Andrew Parker | Bert Proctor |

If you are unable to attend this meeting and wish to appoint a Substitute to attend in your place you need go:

- Identify a Substitute member from the list above who is able to attend on your behalf
- Notify the Chairman of the Committee (at least 24 hours before the meeting is due to take place) NB Only 2 Substitutes per political group are allowed for each meeting and your Chairman will advise you on whether that number has been reached

Officers will be in attendance prior to the meeting for informal discussions on agenda items.

WATCHING THE MEETING

You can attend the meeting in the following ways:

<https://us02web.zoom.us/j/97762459738>

You can also use the Zoom App or telephone 0330 088 5830 or 0131 460 1196

The Conference ID for telephone and Zoom App users is: 977 6245 9738

You do not require a password or pre-registration to access this committee meeting.

Please note, as an attendee you will only be able to watch the meeting. You will not be able to vote, ask questions or discuss the materials presented to the committee.

Questions and Representations

If you would like to ask a question or make a representation during the meeting, please inform our Committee Services team by emailing denise.french@newcastle-staffs.gov.uk

All requests to ask questions or make representations should be submitted two clear days before the meeting.

In your email, please include details of the item you would like to speak on and, if you are asking a question, the question itself. If you cannot be identified to ask your question during the meeting, the meeting Chairperson will ask the question for you.